

AFFINE AND RIEMANNIAN s -MANIFOLDS

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1. Introduction

Let M be a connected Riemannian manifold, and $I(M)$ the group of all isometries on M . An isometry on M with an isolated fixed point x will be called a *symmetry* at x , and will usually be written as s_x . A point x is an isolated fixed point of a symmetry s_x if and only if s_x induces on the tangent space M_x at x an orthogonal transformation $S_x = (ds_x)_x$ which has no invariant vector. M will be called an *s -manifold* if for each $x \in M$ there is a symmetry s_x at x .

An important case arises when each s_x has order 2. Then M is a symmetric space and $I(M)$ is transitive. Indeed, s_x is the geodesic symmetry at x and the set of all such geodesic symmetries is transitive. It will be shown that the transitivity of $I(M)$ is an implication of the existence of a symmetry s_x at each point x without the assumption of s_x being involutive. Thus we have

Theorem 1 (*F. Brickell*). *If M is a Riemannian s -manifold, then $I(M)$ is transitive.*

The assignment of a symmetry s_x at each point x can be viewed as a mapping $s: M \rightarrow I(M)$, and $I(M)$ can be topologised so that it is a Lie transformation group [1]. In this theorem, however, no further assumption on s is made; even continuity is not assumed.

A symmetry s_x will be called a *symmetry of order k* at x if there exists a positive integer k such that $s_x^k = Id.$, and a Riemannian s -manifold with a symmetry of order k at each point will be called a *Riemannian s -manifold of order¹ k* . Clearly a Riemannian s -manifold of order 2 is a symmetric space in the ordinary sense.

Let M be a connected manifold with an affine connection, and $A(M)$ the Lie transformation group of all affine transformations of M . An affine transformation s_x will be called an *affine symmetry* at a point x if x is an isolated fixed point of s_x . The proof of Theorem 1 does not extend to a manifold with affine symmetries. However, assuming differentiability of the mapping $s: M \rightarrow A(M)$, we obtain a similar result. A connected manifold with an affine con-

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¹ The concepts of a Riemannian s -manifold and a Riemannian s -manifold of order k were introduced in [2] for the case when the map $s: M \rightarrow I(M)$ is differentiable.

nection will be called an *affine s-manifold* if there is a differentiable mapping $s: M \rightarrow A(M)$ such that, for each $x \in M$, s_x is an affine symmetry at x .

Theorem 2. *If M is an affine s -manifold, then $A(M)$ is transitive.*

The proof of Theorem 1 is given in § 2. In § 3 Theorem 2 is proved, and in § 4 we describe a class of Riemannian s -manifolds of order k , which are not symmetric spaces. Finally, in § 5 some miscellaneous remarks are made, the differentiability² of s usually being assumed.

2. Proof of Theorem 1

We first prove a lemma for later use.

Lemma. *Let G be a topological transformation group acting on a connected topological space M . If, for each point x in M , the G -orbit of x contains a neighborhood of x , then G is transitive on M .*

This assumption will be referred to as local transitivity of G at a point x .

Proof. Since G is transitive on each orbit, for each x the G -orbit $G(x)$ of x is open by our assumption. The complement $C(x)$ of $G(x)$ in M is also open, being a union of orbits. Thus $G(x)$ is open and closed. It is non-empty and therefore coincides with the connected space M . Thus G is transitive.

Proof of Theorem 1. To simplify notation we write $I(M) = G$. Let x be any point in M , and U a normal neighbourhood of x with radius a . Let y be any point in U and let $b = d(x, y)$, the distance between x and y . Let r be the distance from x to the G -orbit $G(y)$ of y ; thus

$$r = \inf_{f \in G} d(x, f(y)).$$

Clearly we have $r \leq b < a$, since $y \in G(y)$. Hence there exists a sequence (y_n) in $G(y)$ such that $d(x, y_n) \leq b$, $\lim_{n \rightarrow \infty} d(x, y_n) = r$, and the sequence (y_n) converges to a point z in the closed ball with centre x and radius b . Since M is a connected locally compact metric space, orbits are closed. Hence $z \in G(y)$ and $d(x, z) = r$.

Suppose r is positive. Then there exists a unique geodesic segment joining x and z with length $r > 0$. Let w be any point on this geodesic between x and z , and consider the effect of the symmetry s_w at w on z . Clearly $s_w(z)$ belongs to $G(y)$ and is different from z . Since the points x, z, w and $s_w(z)$ are all in U , and the triangle inequality holds for any geodesic triangles in U , we have

$$\begin{aligned} d(x, s_w(z)) &< d(x, w) + d(w, s_w(z)) \\ &= d(x, w) + d(w, z) \\ &= d(x, z) = r, \end{aligned}$$

² "Differentiable" will mean "differentiable of class C^∞ ".

which contradicts the fact that $r = d(x, G(y))$. Thus we have $r = 0$, and hence $x \in G(y)$. Consequently $y \in G(x)$, and since y is an arbitrary point in U we have $U \subset G(x)$. Then by the above lemma, G is transitive on M .

3. Proof of Theorem 2

Put $G = A(M)$. We choose a normal neighbourhood U with origin o which is a normal neighbourhood of each of its points. Then since $A(M)$ is a transformation group on M and the map $s: M \rightarrow A(M)$ is continuous it follows that there is a neighbourhood $V \subset U$ sufficiently small that $s_x(o) \in U$ for all x in V . Since U is a normal neighbourhood as above, Exp_x^{-1} is defined on U for all x in U . Since s_x is an affine transformation, it follows that if $x \in V$ then

$$(1) \quad s_x(o) = \text{Exp}_x S_x \text{Exp}_x^{-1}(o),$$

where S_x is the differential of s_x at x . We note that S_x is a non-singular linear transformation on the tangent space M_x of M at x with no eigenvalue equal to 1. We then have a mapping $h: V \rightarrow U$ defined by $h(x) = s_x(o)$ for any x in V . Since the mapping $s: M \rightarrow A(M)$ is differentiable, so is h . From the expression (1) for $s_x(o)$ the differential dh_o of h at the point o is given by $dh_o = I - S_o$, which is non-singular because no eigenvalue of S_o is equal to 1. Hence h is a diffeomorphism on some neighbourhood $W \subset U$ of o , and $h(W)$ is a neighbourhood of o contained in the G -orbit $G(o)$ of o . Therefore, by the lemma in § 2, $A(M)$ is transitive.

4. A class of s -manifolds of order k

Let G be a compact connected Lie group, and G^* the diagonal of $G \times G$. Then it is well known that $(G \times G)/G^*$ is a symmetric space and is diffeomorphic to G . We now consider the more general case of G^{k+1}/G^* where G^{k+1} is the direct product of G with itself $k + 1$ times, and G^* is the diagonal of G^{k+1} . The coset space G^{k+1}/G^* is then diffeomorphic to G^k under the mapping

$$(x_1, \dots, x_{k+1}) G^* \rightarrow (x_1 x_{k+1}^{-1}, \dots, x_k x_{k+1}^{-1}),$$

and the corresponding action of G^{k+1} on G^k is given by

$$(x_1, \dots, x_{k+1})(y_1, \dots, y_k) = (x_1 y_1 x_{k+1}^{-1}, \dots, x_k y_k x_{k+1}^{-1}).$$

It follows that G^{k+1} is a transitive transformation group on G^k with G^* as isotropy group at the identity of G^k . For any point (x_1, \dots, x_k) in G^k we will identify the tangent space with $G_{x_1} \oplus \dots \oplus G_{x_k}$ by means of the standard projections $\pi_i, i = 1, \dots, k$, of G^k onto G . In particular, we write $X_{(x_1, \dots, x_k)}^{(i)}$ for the vector at (x_1, \dots, x_k) such that $\pi_i X_{(x_1, \dots, x_k)}^{(i)} = X_{x_i}, \pi_j X_{(x_1, \dots, x_k)}^{(i)} = 0$ for $i \neq j$. We also write $Ad(x, \dots, x)$ for the differential of any element $(x,$

$\dots, x) \in G^*$ evaluated at the identity of G^k . Thus for $X_1, \dots, X_k \in G_e$ we have

$$Ad(x, \dots, x)(X_1, \dots, X_k) = (Ad(x)X_1, \dots, Ad(x)X_k).$$

A Riemannian structure on G^k is G^{k+1} -invariant if and only if it is induced from an $Ad(G^*)$ -invariant positive definite bilinear form B at the identity of G^k . We write

$$B_{i,j}(X, Y) = B(X^{(i)}, Y^{(j)}).$$

Then B is $Ad(G^*)$ -invariant if and only if each $B_{i,j}$ is $Ad(G)$ -invariant. Since G is compact, it follows that $Ad(G)$ is also compact, and hence on G_e there exists a positive definite bilinear form ϕ invariant under $Ad(G)$. We may choose such a form for each $B_{i,j}$ and hence obtain B at the identity of G^k . Then an invariant quadratic form on G^k is obtained by left translation.

Consider the mapping $\sigma: G^{k+1} \rightarrow G^{k+1}$ defined by

$$\begin{aligned} p_1 \circ \sigma &= p_{k+1}, \\ p_i \circ \sigma &= p_{i-1} \quad \text{for } i = 2, \dots, k + 1, \end{aligned}$$

where p_1, \dots, p_{k+1} are the projections of G^{k+1} onto its factors. Clearly σ is an automorphism of G^{k+1} such that $\sigma^{k+1} = Id$. Let $\pi: G^{k+1} \rightarrow G^k$ be the projection defined by

$$(2) \quad (\pi_i \circ \pi)(x_1, \dots, x_{k+1}) = x_i x_{k+1}^{-1}, \quad i = 1, \dots, k.$$

Then the map $s: G^k \rightarrow G^k$ defined by

$$(3) \quad s \circ \pi = \pi \circ \sigma$$

has the identity of G^k as an isolated fixed point and $s^{k+1} = Id$. We now seek a G^{k+1} -invariant Riemannian structure B on G^k for which s is a symmetry of order $k + 1$. It follows from (2) and (3) that at the identity of G^k ,

$$(4) \quad ds X^{(i)} = X^{(i+1)}, \quad i \neq k,$$

$$(5) \quad ds X^{(k)} = -(X^{(1)} + \dots + X^{(k)}).$$

Hence s is a symmetry of order $k + 1$ if and only if for $1 \leq i, j \leq k - 1$, and $X, Y \in G_e$,

$$(6) \quad B(X^{(i)}, Y^{(j)}) = B(X^{(i+1)}, Y^{(j+1)}),$$

$$(7) \quad B(X^{(i)}, Y^{(k)}) = -B(X^{(i+1)}, Y^{(1)} + \dots + Y^{(k)}),$$

$$(8) \quad B(X^{(k)}, Y^{(k)}) = B(X^{(1)} + \dots + X^{(k)}, Y^{(1)} + \dots + Y^{(k)}).$$

From (6) and (7) we have for $1 \leq i \leq k - 2$

$$B(X^{(i+2)}, Y^{(1)} + \dots + Y^{(k)}) + B(X^{(i+1)}, Y^{(k)}) - B(X^{(i+2)}, Y^{(1)}) + B(X^{(i)}, Y^{(k)}) = 0.$$

The first two terms of this equation are zero by (7), and hence

$$(9) \quad B(X^{(i)}, Y^{(k)}) = B(X^{(i+2)}, Y^{(1)}).$$

We note that (8) is a consequence of (6) and (7), for (6) implies

$$B(X^{(1)}, Y^{(1)} + \dots + Y^{(k)}) = B(X^{(1)} + \dots + X^{(k)}, Y^{(k)}).$$

Hence, using (7),

$$B(X^{(1)} + \dots + X^{(k)}, Y^{(1)} + \dots + Y^{(k)}) = B(X^{(1)} + \dots + X^{(k)}, Y^{(k)}) - B(X^{(1)}, Y^{(k)}) - \dots - B(X^{(k-1)}, Y^{(k)}) = B(X^{(k)}, Y^{(k)}).$$

It follows that (6), (7) and (8) are equivalent to

$$(10) \quad B_{ij} = B_{i+1, j+1}, \quad 1 \leq i, j \leq k - 1,$$

$$(11) \quad B_{ik} = B_{1, i+2}, \quad 1 \leq i \leq k - 2,$$

$$(12) \quad B_{11} + 2B_{12} + B_{13} + B_{14} + \dots + B_{1k} = 0,$$

where (12) is obtained from (7) with $i = 1$. By means of (10) and (11) we can reduce (12) to

$$B_{11} + 2(B_{12} + \dots + B_{1\frac{k}{2}+1}) = 0$$

for even k , and

$$B_{11} + 2(B_{12} + \dots + B_{1\frac{k+1}{2}}) + B_{1\frac{k+3}{2}} = 0$$

for odd $k > 1$.

The system of equations (10), (11) and (12) has the (not necessarily unique) solution

$$B_{ii} = k\phi, \\ B_{ij} = -\phi \quad \text{for } i \neq j,$$

where ϕ is a positive definite quadratic form on G_e invariant under $A(G)$. We then have

$$B((X_1, \dots, X_k), (X_1, \dots, X_k)) = k \sum_{i=1}^k \phi(X_i, X_i) - 2 \sum_{i < j} \phi(X_i, X_j) \\ = \sum_{i=1}^k \phi(X_i, X_i) + \sum_{i < j} \phi((X_i - X_j), (X_i - X_j)).$$

Clearly B is positive definite. By means of left translation by G^k we obtain a Riemannian structure, also written as B , on G^k .

We now prove that G^k together with the Riemannian structure B is not locally symmetric and hence not symmetric. Thus let ∇ be the affine connection and R the curvature tensor field associated with B . We show that $\nabla R \neq 0$ at the identity of G^k . The connection ∇ can be determined by noting that if X is a left invariant vector field on G then, for $1 \leq i \leq k$, $X^{(i)}$ is a left invariant vector field on G^k . Hence, for $1 \leq i, j \leq k$, $B(X^{(i)}, Y^{(j)})$ is a constant. Let $\{X_\alpha\}$, $\alpha = 1, \dots, r$, be a basis for the vector space of left invariant vector fields on G , which is orthonormal with respect to ϕ . Then $\{X_\alpha^{(i)}\}$, $\alpha = 1, \dots, r$, $i = 1, \dots, k$, is a basis for left invariant vector fields on G^k , and it follows easily from the above remark that

$$(13) \quad B(\nabla_{X_\alpha^{(i)}} X_\beta^{(j)}, X_\gamma^{(p)}) = \frac{1}{2} \{B([X_\alpha^{(i)}, X_\beta^{(j)}], X_\gamma^{(p)}) + B([X_\gamma^{(p)}, X_\alpha^{(i)}], X_\beta^{(j)}) + B([X_\gamma^{(p)}, X_\beta^{(j)}], X_\alpha^{(i)})\}.$$

The connection ∇ is completely determined by (13), and it follows that if X, Y are left invariant vector fields on G then

$$(14) \quad \begin{aligned} \nabla_{X^{(i)}} Y^{(j)} &= \frac{1}{2(k+1)} ([X, Y]^{(j)} - [X, Y]^{(i)}) \quad \text{for } i \neq j, \\ \nabla_{X^{(i)}} Y^{(i)} &= \frac{1}{2} [X, Y]^{(i)} \quad \text{not summed for } i. \end{aligned}$$

A straightforward calculation then gives, for $i \neq j$,

$$(\nabla_{X^{(i)}} R)(X^i, X^j)Y^j = \frac{1}{8(k+1)^3} [(2 - k^2)((ad X)^3 Y)^{(i)} + k((ad X)^3 Y)^{(j)}].$$

Thus, for $r > 1$, $\nabla R = 0$ implies that the Lie algebra of G is nilpotent and hence abelian, since G is compact. Hence if G is a compact connected non-abelian Lie group then G^k admits a Riemannian metric, for which it is an s -manifold of order $k+1$, but is not symmetric.

One might also remark³ that an invariant metric on G^{k+1}/G^* is Riemannian symmetric if and only if it comes from a bi-invariant metric on G^{k+1} . Then it is σ -stable if and only if it has the same projection on each of the $k+1$ factors G of G^{k+1} . Now if $k > 1$ then the group generated by G^* and σ on the tangent space to the identity coset of G^{k+1}/G^* is not irreducible, and it follows immediately that there are many non-locally symmetric Riemannian metrics on G^{k+1}/G^* .

We note that this example and many others are discussed in [4].

³ The authors wish to thank the referee for this suggestion as well as other helpful criticisms and comments.

5. Miscellaneous remarks

A) Let M be an affine s -manifold. Since $s: M \rightarrow A(M)$ is assumed to be differentiable, the tensor field S of type (1,1) defined by $S_x = ds_x$ at x is differentiable.

We now show that if S is parallel, i.e. $\nabla S = 0$, then the curvature tensor K and the torsion tensor T satisfy $\nabla K = 0$ and $\nabla T = 0$. Therefore the affine connection on M is invariant under parallelism [3].

In fact, let M_x and M_x^* be respectively the tangent and cotangent spaces at x . Take any vectors X, Y, Z in M_x and ω in M_x^* . By parallel translation along each geodesic through x they are extended to local vector fields with vanishing covariant derivative at x .

The torsion tensor T defines a real-valued multilinear function $T_x: M_x^* \times M_x \times M_x \rightarrow R$ at each point. Since T is invariant by any affine transformation, we have, in particular,

$$(15) \quad T_x(\omega, X, Y) = T_x(S_x^* \omega, S_x X, S_x Y),$$

where S_x^* denotes the transpose of S_x . The covariant derivative ∇T of T is a tensor field of type (1,3), which is invariant by affine transformations. Thus we have

$$(16) \quad (\nabla T)_x(\omega, X, Y, Z) = (\nabla T)_x(S_x^* \omega, S_x X, S_x Y, S_x Z).$$

By differentiating (15) covariantly in the direction of $S_x Z$ at x and using (16) we obtain

$$\begin{aligned} (\nabla T)_x(\omega, X, Y, S_x Z) &= (\nabla T)_x(S_x^* \omega, S_x X, S_x Y, S_x Z) \\ &= (\nabla T)_x(\omega, X, Y, Z) \end{aligned}$$

Thus $(\nabla T)_x(\omega, X, Y, (I - S_x)Z) = 0$ for any $\omega \in M_x^*, X, Y, Z \in M_x$. Since $I - S_x$ is non-singular, we have $(\nabla T)_x = 0$; this holds at all points in M and hence $\nabla T = 0$.

In exactly the same manner we obtain $\nabla K = 0$.

B) If a manifold M with a torsion free connection is an affine s -manifold and has the property as in A), then M is locally symmetric.

C) Let M be a Riemannian s -manifold of order $k > 1$. Assume moreover that the mapping $s: M \rightarrow I(M)$ is differentiable. Then the tensor field S defined as in A) satisfies the equation $S^k = I$. The eigenvalues of S are thus k -th roots of 1. It follows from the continuity of S that each root must be constant over M . Since S is real, eigenvalues appear as pairs of conjugates except for the eigenvalue -1 , if it exists. At each point x in M we then have the unique eigenspace-decomposition of M_x :

$$(17) \quad M_x = M_{x, -1} \oplus M_{x, 1} \oplus \cdots \oplus M_{x, r},$$

where $M_{x, -1}$ is the eigenspace corresponding to the eigenvalue -1 and $M_{x, i}$, $1 \leq i \leq r$, are the eigenspaces corresponding to the eigenvalues $\cos \phi_i \pm \sin \phi_i \sqrt{-1}$. We thus obtain mutually orthogonal differentiable distributions $M_{-1}, M_i, 1 \leq i \leq r$, on M . Corresponding to the decomposition (17) the tensor field S is decomposed into the form

$$S = S_{-1} \oplus S_1 \oplus \cdots \oplus S_r,$$

where each factor acts on the corresponding space in (17). On $M_i, 1 \leq i \leq r$, we put

$$F_i = (S_i - I \cos \phi_i) / \sin \phi_i,$$

which is well-defined for each i since $\sin \phi_i \neq 0$. Thus we have a tensor field F of type (1,1) defined by

$$F = 0_{-1} \oplus F_1 \oplus \cdots \oplus F_r$$

where 0_{-1} is the zero tensor on M_{-1} . Obviously F satisfies the equation $F^3 + F = 0$ and has rank equal to $\dim M_1 + \cdots + \dim M_r$.

If S has no real eigenvalue, then $M_{-1} = (0)$ and F is an almost complex structure on M . In addition, F is orthogonal with respect to the Riemannian metric, and hence the metric is almost Hermitian with respect to F . If k is odd, then there is no real eigenvalue. Thus we have

If the mapping $s: M \rightarrow I(M)$ is differentiable and has odd order on a Riemannian s -manifold M , then there is an almost complex structure F naturally associated with the given symmetry, and the Riemannian metric is almost Hermitian with respect to F .

D) Let M be a Riemannian s -manifold of order k such that the only eigenvalues of the tensor field S are θ and $\bar{\theta}$ (θ not real). Then either M is a locally symmetric space or $k = 3$.

Proof. At each point $x \in M$ we denote the θ -eigenspace of S_x on the complex tangent space M_x^c by N_x . Then its complex conjugate \bar{N}_x is the $\bar{\theta}$ -eigenspace. Let D be the complex distribution which assigns N_x to x , so its complex conjugate \bar{D} is the distribution assigning \bar{N}_x to x . If X is a tangent vector field we write $X \in D$ (resp. $X \in \bar{D}$) to mean that X is tangent to D (resp. \bar{D}). If X and Y are complex-valued vector fields, then

$$S_x[X, Y]_x = ds_x[X, Y]_x = [ds X, ds Y]_x = [SX, SY]_x$$

$$= \begin{cases} \text{(if } X, Y \in D) [\theta X, \theta Y]_x = \theta^2[X, Y]_x, \text{ so either } \theta^2 = \bar{\theta} \text{ or } [X, Y] = 0; \\ \text{(if } X, Y \in \bar{D}) [\bar{\theta} X, \bar{\theta} Y]_x = \bar{\theta}^2[X, Y]_x, \text{ so either } \bar{\theta}^2 = \theta \text{ or } [X, Y] = 0; \\ \text{(if } X \in D, Y \in \bar{D}) [\theta X, \bar{\theta} Y]_x = [X, Y]_x, \text{ so } [X, Y] = 0. \end{cases}$$

Now write M as a coset space G/K with $G = I(M)$, and K the isotropy subgroup at a point x_0 . Then M is a reductive coset space, so the Lie algebra \mathfrak{g} of G satisfies $\mathfrak{g} = \mathfrak{k} + \mathfrak{m}$ for some $Ad_G(K)$ -stable complement \mathfrak{m} to \mathfrak{k} in \mathfrak{g} . If $k \neq 3$, i.e. $\theta^2 \neq \bar{\theta}$ and $\bar{\theta}^2 \neq \theta$, then the above calculation shows that $[m^c, m^c]$ is contained in \mathfrak{k}^c , so $[m, m]$ is in \mathfrak{k} , proving that M is locally symmetric.

Suppose furthermore that M is Kaehlerian with respect to the complex structure F given by $F = (S - I \cos \phi) / \sin \phi$, where $\theta = \cos \phi + \sin \phi \sqrt{-1}$. Then F has vanishing covariant derivative, and so does the tensor field $S = I \cos \phi + F \sin \phi$ because $\cos \phi$ and $\sin \phi$ are both constant. By Remark A) M is hence locally symmetric for any k .

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